

PTO/SB/06 (24-06)

Approved for use through 05/31/2009, CBAS 0891-0091
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Antonio CATTANEO, et al.Application No./Patent No.: 10/553,618Filing Date: June 20, 2006Titled: METHOD FOR THE HUMANIZATION OF ANTIBODIES AND HUMANIZED ANTIBODIES THEREBY
OBTAINED

Lay Line Genomics S.p.A.

, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- 1 ☐ the assignee of the entire right, title, and interest in;
- 2 ☒ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is 50 %); or
- 3 ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office as Reel , Frame , or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:1 From: To:

The document was recorded in the United States Patent and Trademark Office at

Reel , Frame , or for which a copy thereof is attached.2 From: To:

The document was recorded in the United States Patent and Trademark Office at

Reel , Frame , or for which a copy thereof is attached.3 From: To:

The document was recorded in the United States Patent and Trademark Office at

Reel , Frame , or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet(s).☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08].

The undersigned (whose title is signed below) is authorized to act on behalf of the assignee.

Signature

Ennio Repasito

Printed or Typed Name

Date

Legal Representative

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to be used by the USPTO in processing an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. The collection is estimated to take 10 minutes to complete, including gathering, reviewing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9198 and select option 2

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner Antonino CATTANEO, et al

Application No (Patent No) 10/593,618

Filing/Issue Date June 20, 2006

Title METHOD FOR THE HUMANIZATION OF ANTIBODIES AND HUMANIZED ANTIBODIES THEREBY OBTAINED

Scuola Internazionale Superiore di Studi Avanzati, a nonprofit organization

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1 ☐ the assignee of the entire right, title, and interest in:

2 ☒ an assignee of less than the entire right, title, and interest in:
(the extent (by percentage) of its ownership interest is 50 %) or

3 ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the parent application/patent identified above, by virtue of either:

A ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

B ☐ A chain of title from the inventor(s) of the patent application/patent identified above, to the current assignee as follows:

1 From _____ To _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

2 From _____ To _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

3 From _____ To _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3 to record the assignment in the records of the USPTO. See MPEP 302.08.]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature Stefano Fantoni

Date 05/08/2009

Professor Stefano Fantoni

IL DIRETTORE

Printed or Typed Name

Title

The collection of information is required by 37 CFR 3.73(b). The information is required to obtain or relate a benefit by the public which is to be used by the USPTO to process an application. Confidentiality is guaranteed by 35 U.S.C. 42 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including searching, preparing, and submitting the completed application form to the USPTO. There will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, 1500 ...
Department of Commerce, P.O. Box 1450, Alexandria, VA 22315-1450. DO NOT SEND FEES (IF COMPLETED FORMS TO) THIS ADDRESS. SEND TO: Communications for Patents, P.O. Box 1450, Alexandria, VA 22315-1450.

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION given to:

FULL NAME OF INVENTORS	(CITY & (STATE OR COUNTRY) OF RESIDENCE
CATTANEO Antonino	of FOMA, ITALY
LAMBA Dorianco	of Foma, ITALY
COVACEUSZACH Sonia	of Foma, ITALY

hereinafter referred to as the ASSIGNOR(S), who has/have invented a certain improvement relating to:

TITLE OF INVENTION:

Method for the humanization of antibodies and humanized antibodies thereby obtained

for which we intend to file OR have filed an application for Letters Patent in the United States Patent and Trademark Office on

AND WHEREAS,

NAMES OF COMPANY OR ORGANIZATION:

- 1) Lay Line Genomics S.p.A.
- 2) Scuola Internazionale Superiore di Studi Avanzati

a 1) Corporation and 2) University

organized and existing under the laws of ITALY

whose post office address/business address is:

ADDRESSES OF COMPANY OR ORGANIZATION:

- 1) Via di Castel Romano 100, 00040 Pomezia, Roma, ITALY
- 2) Via Beizut 2-4, 34014 Trieste, ITALY

hereinafter referred to as the ASSIGNEES, is desirous of acquiring hereinafter the entire right, title and interest for the United States and elsewhere throughout the world in and to said invention and application, including any and all provisionals, divisionals, continuations, and improvements thereof, and any and all Letters Patent that may be granted thereon, including any and all renewals, reissues, reexaminations, and prolongations thereof.

NOW, THIS WITNESSETH that for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt whereof is hereby acknowledged, said ASSIGNOR(S) hereby assign, sell and transfer to said ASSIGNEE, their assigns and legal representatives, the entire exclusive right, title and interest in and to said invention and application, for the United States and elsewhere throughout the world including any and all divisions and continuations thereof, including the right to claim priority, and any and all Letters Patent which may be granted therefor, said ASSIGNEE and their assigns and legal representatives to have, hold, exercise and enjoy the said invention and application, including any and all provisional,

divisionals, continuations, and improvements thereof, and any and all Letters Patent which may be granted thereon, including any and all renewals, reissues, reexaminations, and prolongations thereof, with all the rights, powers, privileges, and advantages in any way arising from or pertaining thereto, for and during the term or terms of any and all such Letters Patent when granted, including any and all renewals, reissues, and prolongations thereof, for the use and benefit of said ASSIGNEE and their assigns and legal representatives in as ample and beneficial a manner to all intents and purposes as the said ASSIGNOR(S) might or could have held and enjoyed the same, if this assignment has not been made.

AND said ASSIGNOR(S) hereby agree to execute all papers necessary to file applications in the United States and elsewhere throughout the world for said invention and to assign the same to said ASSIGNEE, or any assignee acquiring title to said invention, and to execute any other papers that may be needed in connection with filing said application and securing and enforcing Letters Patent thereon.

AND said ASSIGNOR(S) authorize and request the Commissioner of Patents to issue a Letters Patent on said application, and on any and all divisions and continuations thereof, to said ASSIGNEE, their assigns and legal representatives, in accordance herewith.

By: *Antonio Cattaneo*
CATTANEO Antonio

Date: *Sep 11, 2006*

By: *Doriano Lamba*
LAMBA Doriano

Date: *Sep 11, 2006*

By: *Sonia Covaceuszach*
COVACEUSZACH Sonia

Date: *Sep 11, 2006*